

05. 12. 03



Europäisches
Patentamt

European
Patent Office

Office européen
des brevets

REC'D 17 DEC 2003

WIPO

PCT

Bescheinigung

Certificate

Attestation

Die angehefteten Unterla-
gen stimmen mit der
ursprünglich eingereichten
Fassung der auf dem näch-
sten Blatt bezeichneten
europäischen Patentanmel-
dung überein.

The attached documents
are exact copies of the
European patent application
described on the following
page, as originally filed.

Les documents fixés à
cette attestation sont
conformes à la version
initialement déposée de
la demande de brevet
européen spécifiée à la
page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

02293136.4

PRIORITY DOCUMENT
SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH
RULE 17.1(a) OR (b)

Der Präsident des Europäischen Patentamts;
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets
p.o.

R C van Dijk

Anmeldung Nr:

Application no.: 02293136.4

Demande no:

Anmeldetag:

Date of filing: 18.12.02

Date de dépôt:

Anmelder/Applicant(s)/Demandeur(s):

Koninklijke Philips Electronics N.V.
Groenewoudseweg 1
5621 BA Eindhoven
PAYS-BAS

Bezeichnung der Erfindung/Title of the invention/Titre de l'invention:

(Falls die Bezeichnung der Erfindung nicht angegeben ist, siehe Beschreibung.
If no title is shown please refer to the description.

Si aucun titre n'est indiqué se référer à la description.)

Optical pickup apparatus for optical discs

In Anspruch genommene Priorität(en) / Priority(ies) claimed /Priorité(s)
revendiquée(s)

Staat/Tag/Aktenzeichen/State/Date/File no./Pays/Date/Numéro de dépôt:

Internationale Patentklassifikation/International Patent Classification/
Classification internationale des brevets:

G11B7/00

Am Anmeldetag benannte Vertragsstaaten/Contracting states designated at date of
filing/Etats contractants désignées lors du dépôt:

AT BE BG CH CY CZ DE DK EE ES FI FR GB GR IE IT LI LU MC NL PT SE SI SK

FIELD OF THE INVENTION

The invention relates to an optical pickup apparatus comprising a photo detector and an optical element intended to receive an incident light beam.

5 The invention may be used in the field of optical recording.

BACKGROUND OF THE INVENTION

10 A method for maintaining a scanning spot on the tracks of an optical disc is known as "push-pull". This method involves the generation of a tracking error signal referred to as push-pull signal. Said tracking error signal is caused by the interaction of the spot with the groove or other tracking structure placed on the disc surface. A tracking servo adjusts the radial position of the spot to keep the push-pull signal at a predetermined value, usually zero. The push-pull signal is generated by means of a photo detector placed in the optical path of an optical pickup apparatus. The photo detector is in charge of detecting the intensity of the light beam derived
15 from the spot.

20 The main problem related to the push-pull method is referred to as beamlanding. The spot on the detector can be decentred due to misalignment of the detector or due to the radial movement of the objective lens in the actuator because of the eccentricity of the tracks on the disc. The push-pull signal then has an offset of the points where the push-pull signal crosses the line defined by the predetermined value given by the tracking servo.

25 A solution to the beamlanding problem is the three-spots push-pull method. Then a grating is placed in the beam in the path towards the disc giving additional satellite spots on the disc. Only the 0th and 1st diffraction orders are taken into account and detected on the detector. The grating is aligned such that the two satellites have a radial offset compared to the main spot of half a track. The two satellite spots generate additional push-pull signals on the detector. The offset due to beamlanding is partly eliminated by defining the radial tracking error signal as a weighted sum of the push-pull signals of the main spot and of the push-pull signals of the two satellite spots with suitably defined weight coefficients.

30 This prior art method is subject to limitations.

35 There are two problems associated with the three-spots push-pull method. A first problem is that the intensity of the main spot is reduced by a considerable fraction, typically around 15%, by the introduction of the three-spots grating. The main part of the intensity loss is consumed by the two satellite spots, and a small part is lost to higher diffraction orders. The reduction of intensity of the main spot has adverse effects on the bit-rate in the writing mode of Recordable or ReWritable systems. A second problem is that of sensitivity to misalignment of the three-spots grating. The orientation of the grating with respect to the track direction must be such that the radial offset of the satellite spots compared to the main spot is half a track. For

example, due to so-called y-error, which is a displacement of the optical pickup unit in the direction perpendicular to the line through the centre of the tracks and the main scanning spot, deviations of this radial spot offset can occur. These deviations cause a reduction of the amplitude of the resulting radial tracking error signal, generated according to the three-spots push-pull method. This reduction can also vary as the disc is rotating. This results in an unfavourable variation of the slope of the tracking error signal at the points where the signal crosses the predetermined value given by the tracking servo. The problem associated with y-error is particularly grave for small-sized discs.

10 **OBJECT AND SUMMARY OF THE INVENTION**

It is an object of the invention to propose an optical pickup apparatus which improves the tracking error signal.

To this end, the optical pickup apparatus comprises a photo detector which comprises a first segment and a second segment, an optical element intended to receive an incident light beam, said optical element comprising :

- a first portion comprising diffraction means for generating a first 0th diffraction order light beam on said first segment, and a first non-0th diffraction order light beam on said second segment,
- 20 - a second portion comprising diffraction means for generating a second 0th diffraction order light beam on said second segment, and a second non-0th diffraction order light beam on said first segment.

The optical pickup implements a detector with at least two segments and an optical element used as a grating for distributing the light beams over the detector segments in such a way that the DC offset caused by the beamlanding is compensated. It directly results in a better tracking error signal.

The optical element is placed in the servo branch of the light path so that the power of the forward beam going to the optical disc is not reduced, contrary to the three spots push-pull method where a grating element is placed at the output of the source beam.

A single spot is used, which not only reduces the power consumption, but also eases the realization of the pickup apparatus when dealing with optical discs of small size.

According to additional characteristics, the optical pickup apparatus is such that :

- said photo detector comprises a first side segment and a second side segment,
- said first portion comprises diffraction means for generating a third non-0th diffraction order light beam on said first side segment,
- said second portion comprising diffraction means for generating a fourth non-0th diffraction order light beam on said second side segment.

The first and second side segments allow to use and to take profit from optical elements used as diffraction gratings which generate not only 0th and +1th diffraction orders light beams, but also -1st diffraction order light beams.

5

According to another characteristic, said first portion and said second portion have a saw tooth grating structure with opposite angle.

Using a grating having a saw tooth structure allows to generate diffracted light beams of high diffraction efficiency.

10

According to another characteristic, said first portion and said second portion have a binary grating structure.

Using a grating having a binary structure allows an easy and cost-effective manufacturing.

15

According to additional characteristics :

- the first segment comprises a first sub-segment and a second sub-segment,
- the second segment comprises a third sub-segment and a fourth sub-segment.

20

These sub-segments improve the detection of the light beams.

According to another characteristic, a third portion is arranged between said first portion and said second portion.

This third portion allows to a central part of the incident light beam to be transmitted directly to the photo detector.

25

According to another characteristic, the third portion has a width $2s$ where s verifies $0.05 \cdot r < s < 0.95 \cdot r$, where r is the radius of said incident light beam.

Such a setting allows a good compromise between the central part of the light beam which is not diffracted, and the periphery parts which are diffracted by the optical element.

30

Detailed explanations and other aspects of the invention will be given below.

BRIEF DESCRIPTION OF THE DRAWINGS

35

The particular aspects of the invention will now be explained with reference to the embodiments described hereinafter and considered in connection with the accompanying drawings, in which identical parts or sub-steps are designated in the same manner :

Fig.1 depicts an optical pickup apparatus according to the invention,

Fig.2 depicts the cross-section of the light beam at an optical element according to the invention,

Fig.3 depicts an optical element according to the invention intended to generate diffracted and non-diffracted light beams on a first type of photo detector,

5 Fig.4 depicts an optical element according to the invention intended to generate diffracted and non-diffracted light beams on a second type of photo detector,

Fig.5 depicts a first grating structure of an optical element according to the invention,

Fig.6 depicts a second grating structure of an optical element according to the invention,

10 Fig.7 depicts a third type of photo detector used in the invention.

DETAILED DESCRIPTION OF THE INVENTION

Fig.1 depicts an optical pickup apparatus according to the invention. This optical pickup apparatus is used for generating electrical signals which are, after processing, used for generating a tracking error signal (also called push-pull signal) intended to maintain the laser beam on tracks of an optical disc 101. In particular, this tracking error signal is intended to maintain the laser beam according to the radial direction of the optical disc 101. The light path is depicted by means of arrows.

20 The optical pickup apparatus comprises a light source 102 for emitting a laser beam which goes to a beam splitter 103. The beam splitter 103 changes the path of the beam by means of a beam-splitter cube. The beam then passes through a collimator lens 104 which converges the beam so as to force the light rays to be parallel. A quarter-wave plate 105 rotates the plane of polarization of the beam by 45°. The beam then passes through an objective lens 106 and strikes the spiral track of the optical disc 101. On the return path, the quarter-wave plate 105 rotates the polarization of the beam by a further 45°. After having passed through the collimator lens 104, the beam passes through the membrane of the beam splitter 103 and passes through an optical element 107 comprising diffraction means and non-diffraction means. The optical element 107, which will be described in details in the following, generates a plurality of light beams which pass through an astigmatic servo lens 108. Finally, 30 the optical pickup apparatus comprises a photo detector 109 comprising segments for converting said plurality of light beams into said electrical signals.

35 Fig.2 depicts the cross-section of the incident light beam at the optical element 107 of the pickup apparatus according to the invention. The beam consists of three diffraction orders DO1-DO2-DO3 which partly overlap. Diffraction orders DO1-DO2-DO3 are caused by the track structure of the optical disc which is similar to a diffraction grating.

Relative to the centre O of the 0th order DO2, the +1st order DO3 is displaced over +q in the radial direction, and the -1st order DO1 is displaced over -q in the radial direction, the radial direction being the radial direction of the circular optical disc.

5

Fig.3 depicts an optical element 301 according to the invention intended to generate diffracted and non-diffracted light beams on a first type of photo detector 302.

For a better understanding, the optical element 301 and the photo detector 302 are represented in a same plan, and the light rays of the light beams at the output of the optical element are schematically drawn by "full lines", "dot lines" and "star lines". Such a representation implicitly takes into account the fact that the astigmatic servo lens referred to as 108 in Fig.1 transforms an input light beam into its reflection from the diagonal line, the diagonal line being defined as the line median between the radial and the tangential direction.

15

This optical element comprises a first side portion L comprising diffraction means, and a second side portion R comprising diffraction means. The diffraction means comprised in the first side portion L and in the second portion R are set so as to distribute diffracted beams on the segments of the photo detector 302 comprising a first segment A and a second segment B.

20

The diffraction means of the first side portion L are set for generating a first 0th diffraction order light beam A(0) on the first segment A, and a first non-0th diffraction order light beam B(+1) on the second segment B.

The diffraction means of the second side portion R are set for generating a second 0th diffraction order light beam B(0) on the second segment B, and a second non-0th diffraction order light beam A(+1) on the first segment A.

25

The diffraction means of the first side portion L correspond to a first grating, and the diffraction means of the second side portion R correspond to a second grating. The first and the second gratings are made of blazes 303 arranged along the tangential direction, the axis of said blazes being parallel to the radial direction.

30

This optical element also comprises a central portion M which has no effect on the beam that passes it through. For example, the central area is made of a transparent material.

The first side portion L, the central portion M, and the second side portion R are arranged according to the radial direction.

35

The width $w = 2*s$ of the central portion M is such that $s < r$, with r the radius of the 0th diffraction order DO2. Preferably, s is set so as to verify $0.05*r < s < 0.95*r$.

The optical element is advantageously made of isotropic material so that the refractive index does not depend on the polarization state of the light beam.

In a first embodiment depicted in Fig.5, the gratings of the first and second side portions L and R have a saw tooth structure. The blaze angle of the first grating and the blaze angle of the second grating are opposite.

5 With such a saw tooth grating structure, the dominant grating diffraction orders are the 0th and the +1st orders. The diffraction efficiency of order m, which indicates the fraction of the intensity going into order m, is defined by :

$$\eta_m = \left[\frac{\sin(\pi[(n-1)h/\lambda - m])}{\pi[(n-1)h/\lambda - m]} \right]^2 \quad \text{Eq.1}$$

10 with λ the wavelength, n the refractive index of the grating material, h the blaze height.

For example, if $h = \lambda/2(n-1)$, then $\eta_0 = \eta_1 = 4/\pi^2 \approx 40.5\%$. Since the cumulated efficiency of all other orders is 19%, they are neglected.

15 The angular deviation $\Delta\alpha$ of order m is related to the wavelength λ and the pitch p of the grating (corresponding to the structure period) is defined by $\Delta\alpha = m\lambda/p$, provided that λ is small compared to p and that m is sufficiently small. The pitch p of the grating is then chosen so as to make the non-0th diffraction order (i.e. the +1st diffraction order) fall on the opposite segment of the photo detector, compared to the 0th diffraction order.

20

In a second embodiment depicted in Fig.6, the gratings of the first and second side portions L and R have a binary structure.

25 With such a binary grating structure, the dominant grating diffraction orders are the -1st, 0th and the +1st orders. The diffraction efficiency of order m, which indicates the fraction of the intensity going into order m, is defined by :

$$\eta_m = \begin{cases} \cos^2(\pi(n-1)h/\lambda) & \text{for } m = 0 \\ 0 & \text{for } m \text{ even} \\ \frac{4}{\pi^2 m^2} \sin^2(\pi(n-1)h/\lambda) & \text{for } m \text{ odd} \end{cases} \quad \text{Eq.2}$$

with λ the wavelength, n the refractive index of the grating material, h the step height.

30

The angular deviation $\Delta\alpha$ of order m is related to the wavelength λ and the pitch p of the grating (corresponding to the structure period) is defined by $\Delta\alpha = m\lambda/p$, provided that λ is small compared to p and that m is sufficiently small. The pitch p of the grating is then chosen

so as to make the non-0th diffraction order (i.e. the +1st diffraction order) fall on the opposite segment of the photo detector, compared to the 0th diffraction order.

With a photo detector comprising only a first segment A and a second segment B, the -1st diffraction orders generated by the first side portion L and the second side portion R are not detected since they do not fall on the segments. To take advantage of the -1st diffraction orders, an improved photo detector is proposed in Fig.4.

Fig.4 depicts an optical element 401 according to the invention intended to generate diffracted and non-diffracted light beams on a second type of photo detector 402.

The photo detector 402 differs from the photo detector as depicted in Fig.3 in that it comprises also a first side segment C and a second side segment D.

In particular, the photo detector 402 is intended to detect the -1st, 0th and +1st diffraction orders generated by an optical element 401 corresponding for example to a grating having a binary structure. Indeed, the first side portion L of the optical element 401 comprises diffraction means for generating a third non-0th diffraction order light beam C(-1) on said first side segment C, and the second side portion R comprises diffraction means for generating a fourth non-0th diffraction order light beam D(-1) on said second side segment D. The third and fourth non-zero diffraction orders correspond to -1st diffraction orders.

Dealing with a photo detector comprising a first segment A and a second segment B, the push-pull signal PP is defined by the following relation :

$$PP = S(A) - S(B) \quad \text{Eq.3}$$

where $S(A)$ is the signal generated by the first segment A,
 $S(B)$ is the signal generated by the second segment B.

Both the first segment A and the second segment B have contributions from the 0th and non-0th orders from portions M, L, and R of the optical element. The signals $S(A)$ and $S(B)$ are expressed as follow :

$$S(A) = (ca_0 - ca_1) e + (Da_0 - Da_1) \cos(2\pi.x/tp - \Phi) \quad \text{Eq.4}$$

$$S(B) = -(cb_0 - cb_1) e + (Db_0 - Db_1) \cos(2\pi.x/tp + \Phi) \quad \text{Eq.5}$$

where "ca0" is the beamlanding coefficient for segment A due to the 0th order beams originating from the central and first and second side portion of the optical element 107,
 "ca1" is the beamlanding coefficient for segment A due to the 1st order beams originating from the second side portion R of the optical element 107,

"cb0" is the beamlanding coefficient for segment B due to the 0th order beams originating from the central and first and second side portion of the optical element 107,

"cb1" "is the beamlanding coefficient for segment B due to the 1st order beams originating from the first side portion L of the optical element 107,

5 Da0 is the signal amplitude on segment A due to the 0th order beams originating from the central and first and second side portion of the optical element 107,

Db0 is the signal amplitude on segment B due to the 0th order beams originating from the central and first and second side portion of the optical element 107,

10 Da1 is the signal amplitude on segment A due to the 1st order beams originating from the second side portion R of the optical element 107,

Db1 is the signal amplitude on segment B due to the 1st order beams originating from the first side portion L of the optical element 107,

e is the displacement of the objective lens with respect to the beam (e is known as "beamlanding"),

15 x is the radial position of the scanning spot,

tp is the track pitch of the optical disc,

Φ is a phase term.

20 In Eq.4 and Eq.5, the beamlanding contributions correspond to the multiplicative factors (ca0-ca1) and -(cb0-cb1) applied to the displacement e, while the radial scanning position is expressed by the oscillating terms in cos.

Due to the symmetric arrangement of the optical pickup apparatus along the optical path :

$$25 \quad ca0=cb0=c0 \quad \text{Eq.6}$$

$$ca1=cb1=c1 \quad \text{Eq.7}$$

$$Da0=Db0=D0 \quad \text{Eq.8}$$

$$Da1=Db1=D1 \quad \text{Eq.9}$$

Eq.4 and Eq.5 can thus be expressed as follow :

$$30 \quad S(A) = (c0-c1) e + (D0-D1) \cos(2\pi.x/tp - \Phi) \quad \text{Eq.10}$$

$$S(B) = -(c0-c1) e + (D0-D1) \cos(2\pi.x/tp + \Phi) \quad \text{Eq.11}$$

The push-pull signal PP is thus expressed as follow :

$$35 \quad PP = 2(c0-c1) e + 2(D0-D1) \sin(\Phi) \sin(2\pi.x/tp) \quad \text{Eq.12}$$

In Eq.12, the DC offset caused by the beamlanding is cancelled if $c0=c1$:

$$PP = 2(D0-D1) \sin(\Phi) \sin(2\pi.x/tp) \quad \text{Eq.13}$$

An improved push-pull signal expressed by Eq.13 is obtained by tuning parameters such as the width $w=2s$ of the central portion M of the optical element, and the blaze height h of which determines the grating diffraction efficiency of the diffracted beams.

5 Dealing with a photo detector comprising a first segment A, a second segment B, a first side segment C and a second side segment D, the push-pull signal PP is defined by the following relation :

$$PP = S(A) - S(B) + K.[S(C) - S(D)] \quad \text{Eq.14}$$

10 where S(A) is the signal generated by the segment A,
S(B) is the signal generated by the segment B,
S(C) is the signal generated by the segment C,
S(D) is the signal generated by the segment D,
K is a gain factor.

15 Fig.7 depicts a third type of photo detector used in the invention. It differs from the photo detectors as depicted in Fig.3 and Fig.4 in that :

- the first segment A comprises a first sub-segment A1 and a second sub-segment A2,
- the second segment B comprises a third sub-segment B1 and a fourth sub-segment B2.

20 The push-pull signal is still expressed by Eq.3 and Eq.14 in which :

$$S(A) = S(A1) + S(A2) \quad \text{Eq.15}$$

$$S(B) = S(B1) + S(B2) \quad \text{Eq.16}$$

25 where S(A1) is the signal generated by the segment A1,
S(A2) is the signal generated by the segment A2,
S(B1) is the signal generated by the segment B1,
S(B2) is the signal generated by the segment B2.

This photo detector allows the generation of a focus error signal with the astigmatic method.

30 The words "comprises", "comprise" and "comprising" do not exclude the presence of other elements than those listed in the claims.

CLAIMS

1. Optical pickup apparatus comprising a photo detector which comprises a first segment and a second segment, an optical element intended to receive an incident light beam, said optical element comprising :

- 5 - a first portion comprising diffraction means for generating a first 0th diffraction order light beam on said first segment, and a first non-0th diffraction order light beam on said second segment,
- a second portion comprising diffraction means for generating a second 0th diffraction order light beam on said second segment, and a second non-0th
- 10 diffraction order light beam on said first segment.

2. Optical pickup apparatus as claimed in claim 1 where :

- said photo detector comprises a first side segment and a second side segment,
- 15 - said first portion comprises diffraction means for generating a third non-0th diffraction order light beam on said first side segment,
- said second portion comprises diffraction means for generating a fourth non-0th diffraction order light beam on said second side segment.

3. Optical pickup apparatus as claimed in claim 1 where said first portion and said second portion have a saw tooth grating structure with opposite angle.

20

4. Optical pickup apparatus as claimed in claim 1 or 2 where said first portion and said second portion have a binary grating structure.

25 5. Optical pickup apparatus as claimed in one of the claims 1 to 4 where :

- said first segment comprises a first sub-segment and a second sub-segment,
- said second segment comprises a third sub-segment and a fourth sub-segment.

6. Optical pickup apparatus as claimed in one of the claims 1 to 5 comprising a third portion arranged between said first portion and said second portion.

30

7. Optical pickup apparatus as claimed in claim 6 where said third portion has a rectangular shape with a width $2s$ where s verifies $0.05 \cdot r < s < 0.95 \cdot r$, where r is the radius of said incident light beam.

"Optical pickup apparatus for optical discs"**ABSTRACT**

- 5 The invention relates to an optical pickup apparatus comprising a photo detector (109) which comprises a first segment and a second segment, an optical element (107) intended to receive an incident light beam, said optical element comprising :
- 10 - a first portion comprising diffraction means for generating a first 0th diffraction order light beam on said first segment, and a first non-0th diffraction order light beam on said second segment,
- a second portion comprising diffraction means for generating a second 0th diffraction order light beam on said second segment, and a second non-0th diffraction order light beam on said first segment.
- 15 Use : Optical pickup apparatus
Ref : Fig.1

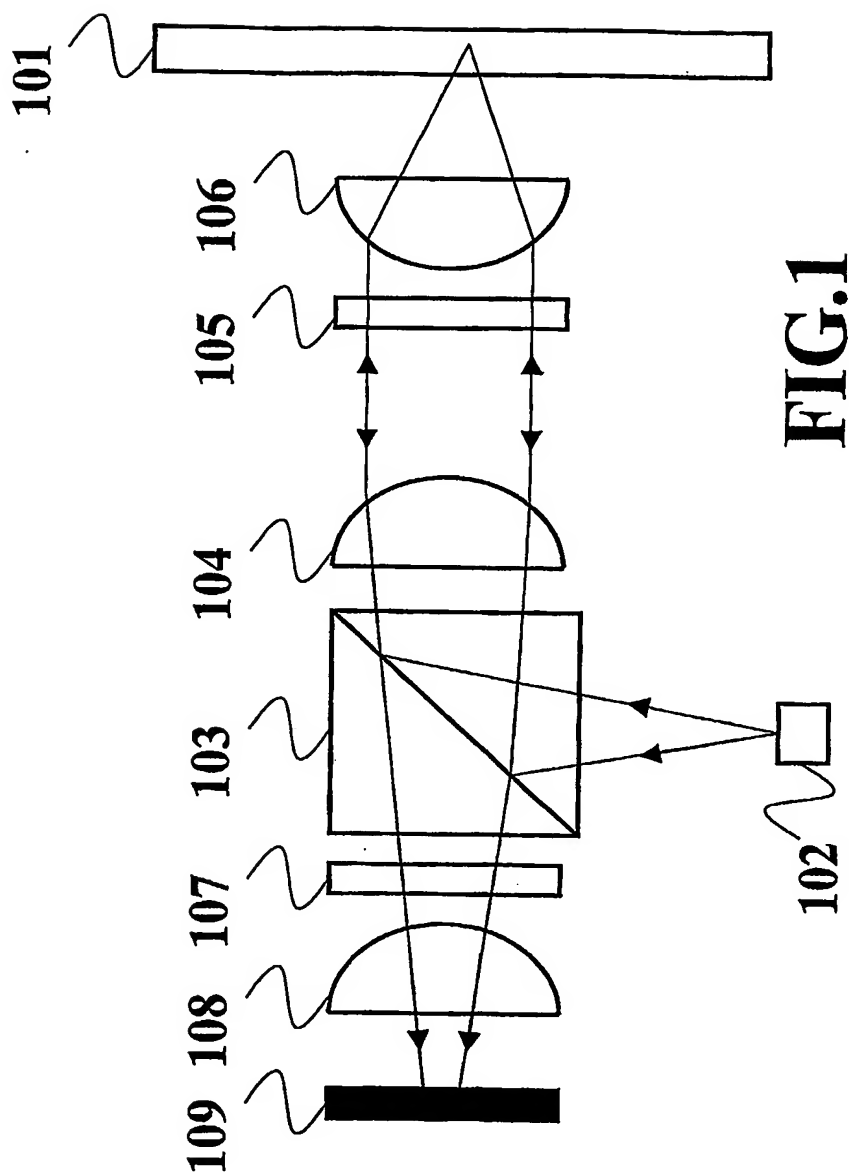


FIG.1

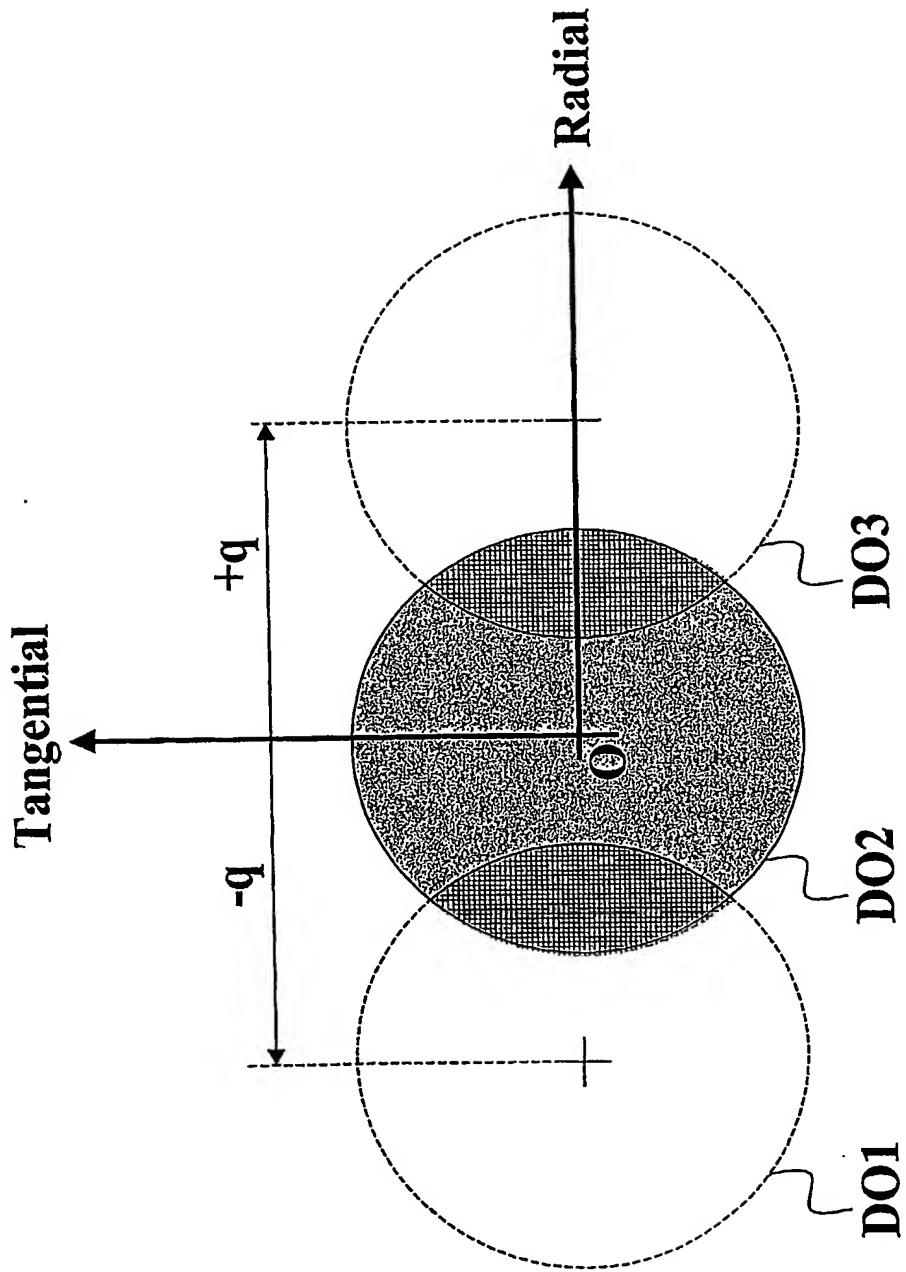


FIG.2

3/6

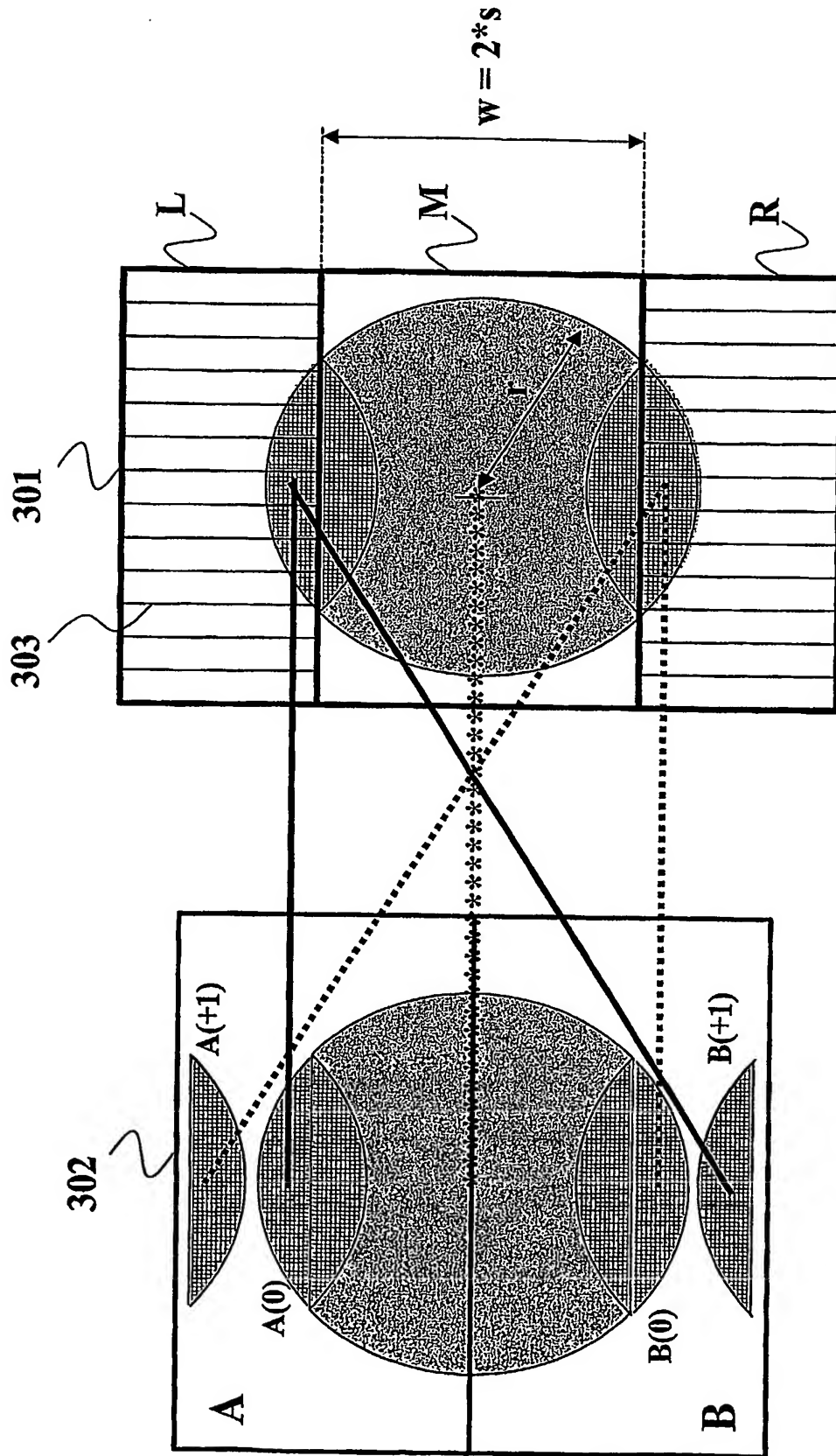
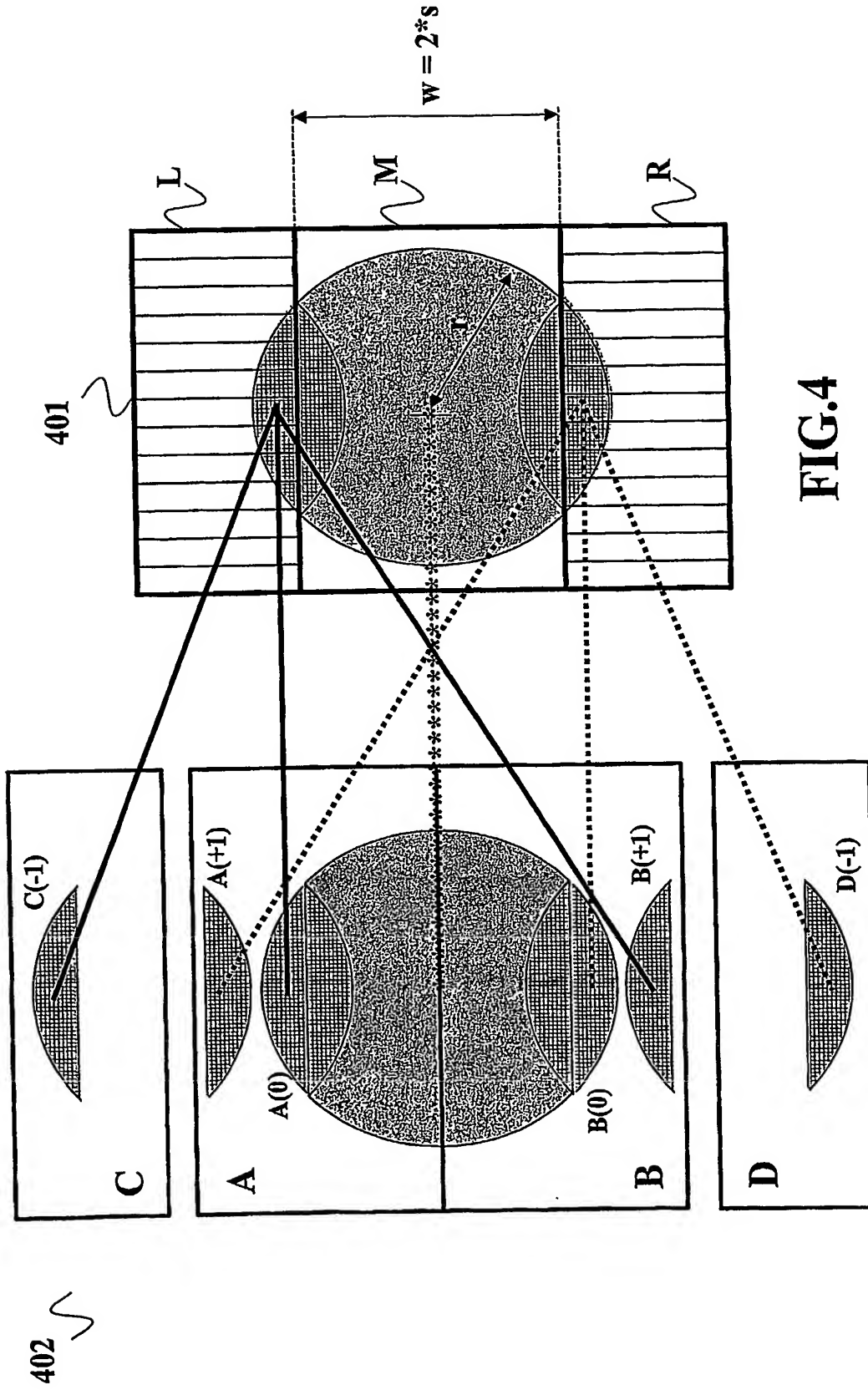


FIG.3



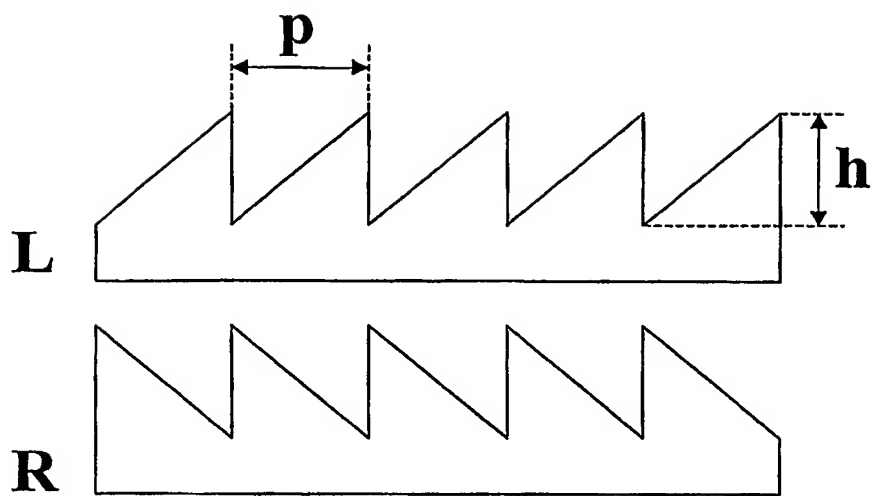


FIG. 5

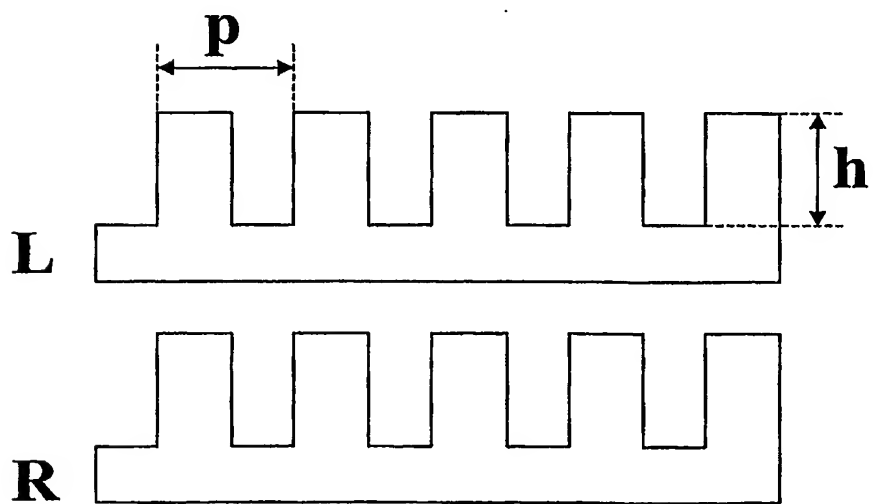
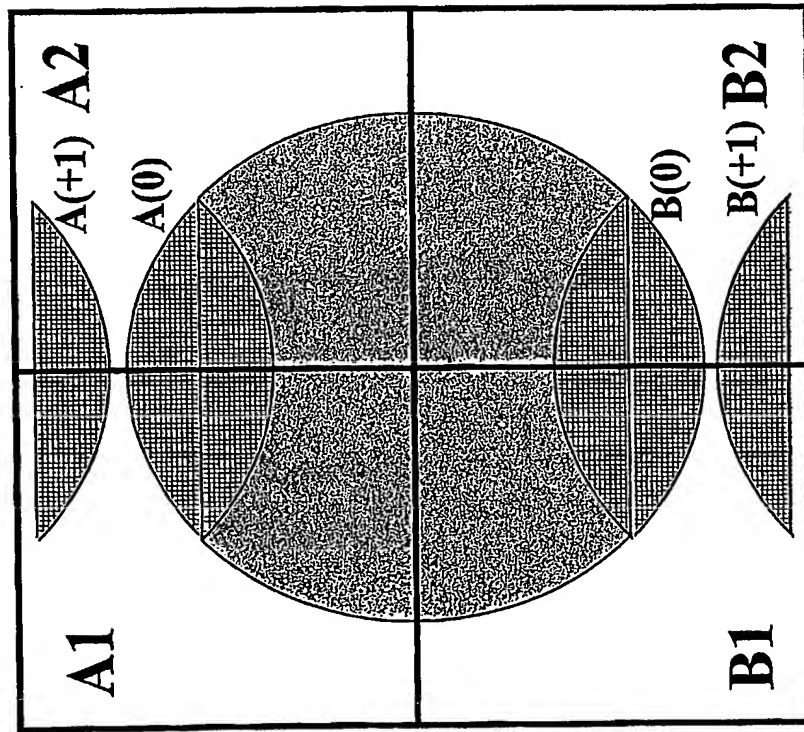


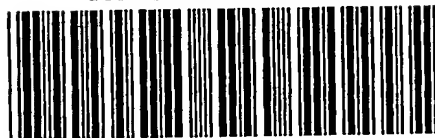
FIG. 6

FIG. 7



PCT Application

IB0305798



**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☒ BLACK BORDERS
- ☒ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☒ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☒ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.